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 11/19/01 U.S. PTO	UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	981206A	Total Pages	 U.S. PTO 09/98272
			First Named Inventor or Application Identifier			
			Hirotoshi KUBO et al.			
			Express Mail Label No.			
Check Box, if applicable [] Duplicate		PAGE 1 OF 3				
APPLICATION ELEMENTS FOR: SEMICONDUCTOR DEVICE AND A METHOD OF FABRICATING THE SAME			ADDRESS TO: Assistant Commissioner for Patents BOX PATENT APPLICATIONS Washington, D.C. 20231			
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Incorporated within this form) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification, Claims, Abstract Total Pages [37]</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets [16]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages [2]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).</p> <p>i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input checked="" type="checkbox"/> Incorporation by reference (usable if box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement Verifying identity of above copies</p> <p><u>ACCOMPANYING APPLICATION PARTS</u></p> <p>8. <input checked="" type="checkbox"/> Assignment to SANYO ELECTRIC CO., LTD., Moriguchi-shi, Japan in the prior Application Serial No. 09/161,828 filed on September 29, 1998 has been recorded at Reel 9488, Frame 0936 on September 29, 1998. Please publish the assignee data with the application.</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p>						

UTILITY PATENT APPLICATION TRANSMITTAL

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under 37 CFR 1.53(b))

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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement w/PTO-1449 listing cited documents. ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
Status still proper and desired.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Japanese application no. 9-215025 filed on August 8, 1997 is claimed under 35 USC 119. The certified copy has been filed in prior application no. 09/161,828 filed September 29, 1998.
16. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 09/161,828 filed September 29, 1998.
- a. ☒ Please amend the specification by inserting after the title: - - **This application is a division of prior application Serial No. 09/161,828, filed September 29, 1998- -**.
- b. ☒ Cancel in this application original claims 1-11 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$740.00
Total Claims	12 - 20	0	x \$18.00	0
Independent Claims	2 - 3	0	x \$84.00	0
Multiple Dependent Claims			\$280.00	
Basic Filing Fee				\$740.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$0.00	
TOTAL				\$740.00

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☒ A check in the amount of \$740.00 is enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. 01-2340 in the total amount of _ to cover the filing fee and the _ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340. A duplicate of this sheet is attached.

8. CORRESPONDENCE ADDRESS



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: Stephen G. Adrian

Reg. No. 32,878

Signature

Date: November 19, 2001

SGA/arf